



Dear Clients,

RE: UPDATE OF CUSTOMER FILES.

To meet our regulatory obligation under Section 87 of the Trinidad & Tobago Securities Act 2012, all registrants of the Trinidad & Tobago Securities and Exchange Commission are required to make and keep such books, records and other documents as are required by the Proceeds of Crime Act and any other written law in relation to the prevention of money laundering and combating the financing of terrorism.

As such, Republic Securities Limited (RSL) is requesting updated and valid information from our clients. To this end, we require completed copies of the following:

1. Know Your Customer Form (KYC Form)
 - Documentary evidence for identification (copies of two forms of valid photographic identification)
 - Proof of address (copy of utility bill)
 - Proof of income (copy of pay slip, Bank statements, Statement of Affairs)
 - Any other documents specified on the KYC Form.
2. Risk Disclosure Form.
3. Source of Funds Declaration Form.

After completing the above forms and gathering the requisite evidence, kindly return the correspondence to a Republic Bank Branch convenient to you and have an officer of the Bank certify having seen the original documents. Alternatively, you may deliver it off at our Office, in person. We further advise, that to remain compliant, you are required to update RSL in the event there are changes to the information and documentary evidence provided, by writing to our Office; as soon as practical and/or before commencing a new transaction.

Moving forward, failure to produce the requested information to our Office may result in a suspension of services and/or termination of the existing relationship. This suspension of services can take the form of RSL being unable to execute a buy order and/or the freezing of proceeds from a sell order. We therefore urge you to comply.

We would appreciate receiving from you, physical copies of this information in response to this letter and look forward to our continued relationship. Should you require clarification or guidance please do not hesitate to contact our Retroactive Due Diligence Project team at (868) 623-0435 extensions; 49901, 49909, 49914 and 49923.

A handwritten signature in black ink, appearing to read "G. Gosein", written over a horizontal line.

GODFREY GOSEIN
CHIEF EXECUTIVE OFFICER